

This brochure supplement provides information about William Christopher Wrenn that supplements the Trident Advisory Partners, LLC brochure. You should have received a copy of that brochure. Please contact William Christopher Wrenn if you did not receive Trident Advisory Partners, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about William Christopher Wrenn is also available on the SEC's website at www.adviserinfo.sec.gov.



TRIDENT

Form ADV Part 2B – Individual Disclosure Brochure

for

William Christopher Wrenn

Personal CRD Number: 6033232

Investment Adviser Representative

Trident Advisory Partners, LLC
615 S College St Floor 9
Charlotte, NC 28202
(704)302-1626
cwrenn@asktsp.com

UPDATED: 03/19/2025

Item 2: Educational Background and Business Experience

Name: William Christopher Wrenn **Born:** 1985

Education: BA Finance Finance, Lenoir Rhyne University - 2012

Educational Background and Professional Designations:

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

CFA – Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute - the largest global association of investment professionals.

There are currently more than 90,000 CFA charterholders working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders-often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 22 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit www.cfainstitute.org.

Business Background:

07/2023 - Present	Principal & Managing Partner Trident Strategic Partners, LLC
06/2016 - 06/2023	Member & Senior Wealth Advisor Providence Capital Advisors
02/2012 - 06/2016	Associate Wealth Management Advisor Northwestern Mutual

Prior to joining Trident Strategic Partners, Chris was a financial strategist and later a partner and senior wealth advisor with Providence Capital Advisors. In these roles, Chris worked with clients to develop and implement customized financial plans while also supporting the firm's efforts in the private and alternative investment space.

Before his time with Providence Capital Advisors, Chris was a registered representative and later an associate wealth management advisor with Northwestern Mutual. In these roles, Chris worked with clients to develop and implement customized financial plans with a focus on investment-related functions such as manager due diligence and fund selection, portfolio construction and asset allocation, and trade execution and compliance.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

William Christopher Wrenn is a sponsor or syndicator of limited partnerships. From time to time, he will offer clients advice or products from this activity. Trident Advisory Partners, LLC always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Trident Strategic Partners, LLC in their capacity as a sponsor or syndicator of limited partnerships.

William Christopher Wrenn added a subsidiary (Trident RE Partners, LLC) to TSP that works as an asset manager in the real estate space.

Item 5: Additional Compensation

William Christopher Wrenn does not receive any economic benefit from any person, company, or organization, other than Trident Strategic Partners, LLC in exchange for providing clients advisory services through Trident Advisory Partners, LLC.

Item 6: Supervision

As the Chief Compliance Officer of Trident Advisory Partners, LLC, William Christopher Wrenn supervises all activities of the firm. William Christopher Wrenn's contact information is on the cover page of this disclosure document. William Christopher Wrenn adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. William Christopher Wrenn has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. William Christopher Wrenn has NOT been the subject of a bankruptcy.

This brochure supplement provides information about Nickolas Moriates that supplements the Trident Advisory Partners, LLC brochure. You should have received a copy of that brochure. Please contact Nickolas Moriates if you did not receive Trident Advisory Partners, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Nickolas Moriates is also available on the SEC's website at www.adviserinfo.sec.gov.



TRIDENT

Form ADV Part 2B – Individual Disclosure Brochure

for

Nickolas Moriates

Personal CRD Number: 8120353

Associate

Trident Advisory Partners, LLC
615 S College St Floor 9
Charlotte, NC 28202
(704)315-5108
nmoriates@asktsp.com

UPDATED: 08/13/2025

Item 2: Educational Background and Business Experience

Name: Nickolas Moriates

Born: 2023

Educational Background:

BA Finance, Lenoir Rhyne University - 2025

Business Background:

05/2025 - Present	Associate Trident Strategic Partners, LLC
08/2021 - 05/2025	Student Lenoir-Rhyne University
05/2014 - 08/2024	Landscaper Diamond Landscaping
05/2023 - 07/2023	Software Engineer Keen Decision Systems
12/2022 - 01/2023	Software Engineer Keen Decision Systems
05/2021 - 07/2021	Statistician Coastal Plain League
08/2014 - 05/2021	Full-Time Student Full-Time Student

Prior to joining Trident Strategic Partners, Nickolas focused on obtaining a Bachelor of Arts in Finance.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Nickolas Moriates does not have other business activities to report.

Item 5: Additional Compensation

Nickolas Moriates does not receive any economic benefit from any person, company, or organization, other than Trident Strategic Partners, LLC in exchange for providing clients advisory services through Trident Advisory Partners, LLC.

Item 6: Supervision

Trident Strategic Partners, LLC has written supervisory procedures in place that are reasonably designed to detect and prevent violations of the securities laws, rules, and regulations of the State of North Carolina. Nickolas is supervised by Trident Strategic Partners, LLC's Chief Compliance Officer, William Christopher Wrenn. William Christopher Wrenn may be contacted at (336) 202-9937.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Nickolas Moriates has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Nickolas Moriates has NOT been the subject of a bankruptcy.

This brochure supplement provides information about Shailesh Kshatriya that supplements the Trident Advisory Partners, LLC brochure. You should have received a copy of that brochure. Please contact Shailesh Kshatriya if you did not receive Trident Advisory Partners, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Shailesh Kshatriya is also available on the SEC's website at www.adviserinfo.sec.gov.



TRIDENT

Form ADV Part 2B – Individual Disclosure Brochure

for

Shailesh Kshatriya, CFA®

Personal CRD Number: 4692919

Chief Investment Officer

Trident Advisory Partners, LLC
615 S College St Floor 9
Charlotte, NC 28202
(984)229-9719
skshatriya@asktsp.com

UPDATED: 08/14/2025

Item 2: Educational Background and Business Experience

Name: Shailesh Kshatriya, CFA® **Born:** 1976

Educational Background and Professional Designations:

Education: BS Finance, Rutgers University, Newark - 1998

Designations:

CFA - Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute - the largest global association of investment professionals.

There are currently more than 90,000 CFA charter holders working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders-often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 22 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit www.cfainstitute.org.

Business Background:

08/2025 - Present	Chief Investment Officer Trident Strategic Partners, LLC
01/2025 - 06/2025	Unemployed
07/2019 - 12/2024	Director of Investments Russell Investments USA
01/2008 - 7/2019	Director of Investments Russell Investments Canada
06/2005 - 01/2008	Investment Strategist Russell Investments USA

Prior to joining Trident Strategic Partners, Shailesh was a Director of Investments with Russell Investments USA and Russell Investments Canada. Shailesh has over twenty years of experience in the financial industry including as an Investment Strategist with Russell Investments USA.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Shailesh Kshatriya does not have other business activities to report.

Item 5: Additional Compensation

Shailesh Kshatriya does not receive any economic benefit from any person, company, or organization, other than Trident Strategic Partners, LLC in exchange for providing clients advisory services through Trident Advisory Partners, LLC.

Item 6: Supervision

Trident Strategic Partners, LLC has written supervisory procedures in place that are reasonably designed to detect and prevent violations of the securities laws, rules, and regulations of the State of North Carolina. Shailesh is supervised by Trident Strategic Partners, LLC's Chief Compliance Officer, William Christopher Wrenn. William Christopher Wrenn may be contacted at (336) 202-9937.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Shailesh Kshatriya has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Shailesh Kshatriya has NOT been the subject of a bankruptcy.